DEC 0 TO SE

Application Number INFORMATION DISCLOSURE STATEMENT BY APPLICANT 10/798,790 Filing Date 03/11/2004 First Named Inventor SATISH MAHADEORAO TOTEY Group Art Unit 1636 Examiner Name UNKNOWN Attorney Docket Number REL494/4-002US/58000 of NON-PATENT LITERATURE DOCUMENTS Martina Böttner, et al., The Transforming Growth Factor-\$s: STructure, Signaling, and Roles in Nervous System Development and Functions, Journal of Neurochemistry, /DG/ 75(6):2224-2240, 2000 PCT/IB04/01246, International Search Report, 11/I/05 /DG/ /Daniel Gamett/ (09/06/2007) Date Considered

									PTO/SB/08A (04-0	
31P EINFORMATION DISCLOSURE					App	lication Numb	er	10/798,790		
ST	ATEM	ENT BY	APPL	ICANT	Filir	Filing Date		3-11-04		
SEP 2 3 2004 g					Firs	First Named Inventor		Satish Totey & Getta Ravindran		
Special 1 of 5						up Art Unit		1636	•	
Danes C	¥7				Exa	miner Name		Unkno	wn	
Sheet		1				rney Docket		REL49	4/4-002US/58000	
					U.S. PATE	NT DOCUME	NTS			
Examiner Initials			1	Documen	nt Number		Publ	Ication Date	Name of Retestes or Applicant of Cited	
	Cite No.	Number			Kind Code (il known)	_	I-DD-YYYY	Name of Patentee or Applicant of Cited Document		
/DG/	A1	5,514,5	52				05	5/07/96	Rosner et al.	
	A2	5,843,7	80				12	2/01/98	Thomson	
	АЗ	6,090,6	22				07	7/18/00	Gearhart et al.	
	A4	6,200,8	06				03	3/13/01	Thomson	
	A5	6,602,7	11				08	3/05/03	Thomson et al.	
	A6	2002/00	0974	3			01	/24/02	Carpenter	
	A7	2002/00	1904	6			02	2/14/02	Carpenter et al.	
	A8	2002/00	3972	:4			04	1/04/02	Carpenter	
	A9.	2002/01	15105	i3			10	/17/02	Carpenter et al.	
	A10	2003/00	03619	15			02	2/20/03	Studer et al.	
	A11	2003/00	06881	9 .			04	1/10/03	Zhang et al.	
	A12.	2003/0	10394	9			06	5/05/03	Carpenter et al.	
1	A13	2003/01	10461	6		2/	06	5/05/03	Parikh et al.	
1 7	A14	2004/00	01421	0			0.	/22/04	Jessell et al.	
Ekaminer	Cite	FOREIGN PATENT DOCUMENTS						Publication Date Name of Patentee or App		
	No.	Country Number						I-DD-YYYY umber 43)		
1 1	B1	WO 01/	5161	6			. 07	/19/01	Geron Corporation	
	B2	WO 01/	8371	5			11/	/08/01	The Government of the U.S. of America	
E	ВЗ	WO 01/	8810	4			11/	22/01	Geron Corporation	
1	В4	WO 02/	0860	73			10	/31/02	Memorial Sloan-Kettering Cancer Center	
1 1	B5	WO 03/	0008	68	-,		01	/03/03	Geron Corporation	
	В6	WO 03/	1044	44			12	/18/03	ES Cell International PTE Ltd.	
V '	В7	WO 200	04/01	5077			02	/19/04	University of Georgia Research Foundation, Inc.	
Examiner Signature		/Daniel	Gaṃe	ett/ (09/06/2	2007)		Dat	te nsidered		

PTO/SB/08A (04-03)

INFORMATION DISCLOSURE				CLO	SURE	Application Number	10/798,790		
	STATEMENT BY APPLICANT				CANT	Filing Date	3-11-04		
						First Named Inventor	Satish Totey & Geeta Ravindran		
						Group Art Unit	1636		
						Examiner Name	Unknown		
Sh	eet	2	· T	of	5	Attorney Docket Number	REL494/4-002US/58000		
	NON-PATENT LITERATURE DOCUMENTS								
1	/DG/	C1	Neuro	trop	hic Factor b	rotection through Delivery of Gilal Cell Line-Derived y Neural Stem Cells in a Mouse Model of Parkinson's 21:8108-8118 (2001)			
		C2				ic Stem Cells Expre by 168: 342-357 (1998	ess Neuronal Properties <i>in Vitro."</i> 5)		
		СЗ	Dopan	Björklund <i>et al.</i> , "Embryonic Stem Cells Develop Into Functional Dopaminergic Neurons After Transplantation in a Parkinson Rat Model." <i>PNAS</i> 99:2344-2349 (2002)					
		C4	Björklund et al., "Reinnervation of the Denervated Striatum by Substantianigra Transplants: Functional Consequences as Revealed by Pharmacological and Sensorimotor Testing." <i>Brain Research</i> 199:307-333 (1980)						
		C5	Brundin et al., "Intracerebral Grafting of Dopamine Neurons." Ann. N.Y. Acad. Sci. 495:473-496 (1987)						
		C6	Brüstle et al., "Embryonic Stem Cell-Derived Glial Precursors: A Source of Myelinating Transplants." Science Magazine 285:754-56 (1999)						
		C7	Buehr et al., "Mesonephric Contribution to Testls Differentiation in the Fetal Mouse." Development 117:273-281 (1993)						
		C8	Damjanov et al., "Retinoic Acid-Induced Differentiation of the Developmentally Pluripotent Human Germ Cell Tumor-Derived Cell Line, NCCIT." Laboratory Investigation 68:220-232 (1993)						
		C9	Dunnett et al., "Behavioural Recovery Following Transplantation of Substantia Nigra in Rats Subjected to 6-OHDA Lesions of the Nigrostriatal Pathway .I. Unilateral Lesions." Brain Research 215:147-161 (1981)						
		C10	Dunnett et al., "Intracerebral Grafting of Neuronal Cell Suspensions v. Behavioural Recovery in Rats with Bilateral 6-OHDA Lesions Following Implantation of Nigral Cell Suspensions." Acta Physiol. Scan. Suppl. 522:39-47 (1983)						

	INFORMATIO	N DISCLO	SURE	Application Number	10/798,790	
	STATEMENT	BY APPL	ICANT	Filing Date	3-11-04	
				First Named Inventor	Satish Totey & Geeta Ravindran	
				Group Art Unit	1636	
				Examiner Name	Unknown	
Sheet 3 of 5		Attorney Docket Number	REL494/4-002US/58000			

/DG/	C11	Eriksson <i>et al.</i> , "Neurogenesis in the Adult Human Hippocampus." Nature America, Inc. 4:1313-1317 (1998)
	C12	Freed et al., "Transplantation of Embryonic Dopamine Neurons for Severe Parkinson's Disease." New England Journal of Medicine 344:710-19 (2001)
	C13	Henderson et al., "Neurotrophic Factors in Development and Plasticity of Spinal Neurons." Restorative Neurology and Neuroscience 5:15-28 (1993)
	C14	Hofer and Barde, "Brain-derived Neurotrophic Factor Prevents Neuronal Death <i>in vivo.</i> " Nature 331:261-262 (1988)
	C15	Kawasaki et al., "Induction of Midbrain Dopaminergic Neurotechnique Neurons from ES Cells by Stromal Cell-Derived Inducing Activity." Neuron 28:31-40 (2000)
	C16	Kim et al., "Dopamine Neurons Derived from Embryonic Stem Cells Function in an Animal Model of Parkinson's Disease." Nature 418:50-56 (2002)
	C17	Kukekov et al., "Multipotent Stem/Progenitor Cells with Similar Properties Arise From Two Neurogenic Regions of Adult Human Brain." Exper. Neurology 156:333-344 (1999)
	C18	Lauder and Bloom, "Ontogeny of Monoamine Neurons in the Locus Coeruleus, Raphe Nuclei and Substantia Nigra of the Rat." J. Comp. Neur. 155:469-481 (1974)
	C19	Lee et al., "Efficient Generation of Midbrain and Hindbrain Neurons From Mouse Embryonic Stem Cells." Nature Blotech. 18:675-679 (2000)
	C20	Lin et al., "GDNF: A Glial Cell Line-Derived Neurotrophic Factor for Midbrain Dopaminergic Neurons." Science 260:1130-32 (1993)
	C21	Lin et al., "Purification and Initial Characterization of Rat B49 Glial Cell Line-Derived Neurotrophic Factor." Jour. of Neurochem. 63:758-768 (1994)
$\prod$	C22	Nadaud <i>et al.</i> , "Functional Recovery Following Transplantation of Ventral Mesencephalic Cells in Rat Subjected to 6-OHDA Lesions of the Mesolimbic Dopaminergic Neurons." Brain Research 304:137-141 (1984)

INF	FORMATIO	N DISCLOS	URE	Application Number	10/798,790	
ST	ATEMENT	BY APPLIC	ANT	Filing Date	3-11-04	
				First Named Inventor	Satish Totey & Geeta Ravindran	
				Group Art Unit	1636	
				Examiner Name	Unknown	
Sheet	Sheet 4 of 5		Attorney Docket Number	REL494/4-002US/58000		

/DO	}/ <b>I</b>	C23	Reublnoff <i>et al.</i> , "Embryonic Stem Cell Lines From Human Blastocysts: Somatic Differentiation in vitro." Nature Blotech. 18:399-404 (2000)				
		C24	Reynolds and Weiss, "Generation of Neurons and Astrocytes From Isolated Cells of the Adult Mammalian Central Nervous System." Science 255:1707-1710 (1992)				
		C25	Rolletschek <i>et al.</i> , "Differentiation of Embryonic Stem Cell-Derlved Dopaminergic Neurons is Enhanced by Survival-Promoting Factors." Mech. Dev. 105:93-104 (2001)				
		C26	Rosenthal, "Auto Transplants for Parkinson's Disease?" Neuron 20:169-172 (1998)				
		C27	Shamblott et al., "Derivation of Pluripotent Stem Cells From Cultured Human Primordial Germ Cells." Proc. Natl. Acad. Sci. 95:13726-13731 (1998)				
		C28	Strömberg et al., "Glial Cell Line-Derived Neurotrophic Factor Is Expressed In the Developing but Not Adult Striatum and Stimulates Developing Dopamine Neurons <i>in vivo.</i> " Experimental Neurology 124:401-412 (1993)				
		C29	Svendsen et al., "Long-Term Survival of Human Central Nervous System Progenitor Cells Transplanted Into a Rat Model of Parkinson's Disease." Experimental Neurology 148:135-146 (1997)				
7	-	C30	Thomson <i>et al.</i> , "Embryonic Stem Cell Lines Derived from Human Blastocysts." Science 282:1145-47 (1998)				
		C31	Thomson et al., "Isolation of a Primate Embryonic Stem Cell Line." Proc. Natl. Acad. Sci. 92:7844-7848 (1995)				
		C32	Thomson and Marshall, "Primate Embryonic Stem Cells." Dev. Biology 38:133-165 (1998)				
	/	C33	Vescovi et al., "Isolation and Cloning of Multipotential Stem Cells from the Embryonic Human CNS and Establishment of Transplantable Human Neural Stem Cell Lines by Epigenetic Stimulation." Exper. Neurology 156:71-83 (1999)				

IN	FORMATIO	N DISCLOS	URE	Application Number	10/798,790 3-11-04	
s.	TATEMENT	BY APPLIC	ANT	Filing Date		
				Firet Named Inventor	Satish Totey & Geeta Ravindran	
				Group Art Unit	1636	
				Examiner Name	Unknown	
Sheet 5 of 5 Attorney Docket Number REL494/4		REL494/4-002US/58000				

/DG/	C34	Vescovi et al., "Isolation and Intracerebral Grafting of Nontransformed Multipotential Embryonic Human CNS Stem Cells." Journal of Neurotrauma 16:689-693 (1999)					
/DG/	C35	Winkler et al., "Transplantation in the Rat Model of Parkinson's Disease: Ectopic Versus Homotopic Graft Placement." Progress in Brain Research 127:233-265 (2000)					
/DG/	C36	Yurek and Sladek, "Dopamine Cell Replacement: Parkinson's Disease." Annu. Rev. Neurosci. 13:415-40 (1990)					
/DG/	C37	Zhang et al., "In vitro Differentiation of Transplantable Neural Precursors From Human Embryonic Stem Cells." Nature Biotech. 19:1129-1133 (2001)					
Examiner Signature		/Daniel Gamett/ (09/06/2007)	Date Considered				